



Customer No. 22,852  
Attorney Docket No. 09227.0002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
	)	
Yasunori SAKURABAYASHI et al.	)	
	)	Group Art Unit: 1754
Serial No.: 10/687,805	)	
	)	Examiner: Not Yet Assigned
Filed: October 20, 2003	)	
	)	
For: METHODS FOR	)	
MANUFACTURING MULTI-	)	
WALL CARBON NANOTUBES	)	
	)	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§1.56 and 1.97(b), applicants bring to the Examiner's attention the documents listed on attached Form PTO-1449. To the best of undersigned's knowledge, this Information Disclosure Statement is being filed before the mailing of a first Office Action on the merits. Further, each document listed in this Information Disclosure Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application, and this Information Disclosure Statement is being filed within three months of the mailing date of that communication.

Copies of the listed documents are attached.

Applicants respectfully request that the Examiner consider the documents listed on attached Form PTO-1449 and indicate that they were considered by making an appropriate notation on this form.

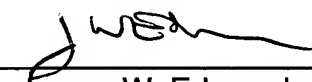
This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Patent and Trademark Office the relevant facts and law regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

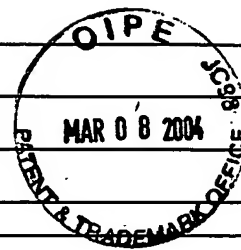
FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: March 8, 2004

By:   
James W. Edmondson  
Reg. No. 33,871

## INFORMATION DISCLOSURE CITATION

Atty. Docket No.	09227.0002	Appln. No.	10/687,805
Applicant	Yasunori SAKURABAYASHI et al.		
Filing Date	October 20, 2003	Group:	1754



## U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate

## FOREIGN PATENT DOCUMENTS

Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Koshio, Akira, et al., "Metal-free production of high-quality multi-wall carbon nanotubes, in which the innermost nanotubes have a diameter of 0.4 nm," <i>Chem. Phys. Letters</i> , 356 (2002), pp. 595-600
	Monthieux, M., "Filling single-wall carbon nanotubes, <i>Carbon</i> 40 (2002, pp. 1809-1823
	Luzzi, David E., et al., "Nanoscopic hybrid materials: The synthesis, structure and properties of peapods, cats and kin" <i>Sci. and Appln. of Nanotubes, Proceedings of Nanotube '99, An International Conference</i> , July 24-27, 1999 (2000)

Examiner	Date Considered
<p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce